

Practitioner's Docket No. 536-009.021**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re application of: **H. MUSSIG et al.**Application No.: **10 /528,868** Group No.: **To be assigned**Filed: **March 24, 2005**Examiner: **To be assigned**For: **Semiconductor dielectric component with a praseodymium oxide dielectric**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF SUBSTITUTE SPECIFICATION (37 C.F.R. § 1.125)

NOTE: A substitute specification, excluding the claims, may be filed at any point up to payment of the issue fee if it is accompanied by items indicated below. See 37 C.F.R. § 1.125(b).

1. Enclosed is a substitute specification for the originally filed specification in this application.

NOTE: The substitute specification must be submitted in clean form without markings as to amended material. The paragraphs of any substitute specification, other than the claims, should be individually numbered in Arabic numerals so that any amendment to the specification may be made by replacement paragraph in accordance with § 1.121(b)(1). 37 C.F.R. § 1.125(c).

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)**37 C.F.R. § 1.10 ***

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TRANSMISSION

facsimile transmitted to the Patent and Trademark Office, (703) _____

Anne Marie Maher

Signature

Anne Marie Maher

(type or print name of person certifying)

Date: 12 July 2005

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

2. *(complete the following applicable item)*

- This substitute specification is submitted, in response to a requirement by the Examiner.

OR

- This substitute specification is being voluntarily submitted, in order to facilitate the processing of the application.
3. Also enclosed is a marked-up copy of the substitute specification showing the matter being added to and the matter being deleted from the specification.
4. Accompanying this transmittal is a statement, as required by 37 C.F.R. § 1.125(b)(1), that the substitute specification transmitted herewith contains no new matter.

Reg. No.: **41,266**

Tel. No.: (203) 261-1234

Customer No.: **004955**



SIGNATURE OF PRACTITIONER

James A. Retter

(type or print name of practitioner)

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755 Main Street, P.O. Box 224**

Monroe, CT 06468

(Transmittal of Substitute Specification [9-27]—page 2 of 2)

2006 PCT/PTO 14 JUL 2005 #2.

536-009.021

Practitioner's Docket No. _____

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: H. MUSSIG et al.

Application No.: 10 / 528,868 Group No.: To be assigned

Filed: March 24, 2005 Examiner: To be assigned

For: Semiconductor dielectric component with a praseodymium oxide dielectric

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**STATEMENT THAT SUBSTITUTE SPECIFICATION
CONTAINS NO NEW MATTER (37 C.F.R. § 1.125)**

1. Identification of person making this statement

James A. Retter

(type or print name)

Ware, Fressola, Van Der Sluys & Adolphson LLP
Bradford Green, Building Five

Address 755 Main Street, P.O. Box 224
Monroe, CT 06468

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 *

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TRANSMISSION

facsimile transmitted to the Patent and Trademark Office, (703) _____


Signature

Date: 12 July 2005


Annemarie Maher

(type or print name of person certifying)

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The person making this statement is:

(complete applicable item)

- the inventor in this application.
- the attorney in this application, Registration Number 41,266.
- other (*indicate relationship*)

2. Statement

I hereby state that the accompanying substitute specification contains no new matter over that contained in the above-identified application originally filed.

(complete the following, if applicable)

- I further state that the changes made are the same as indicated in the inter-lined substitute specification also accompanying this statement.



Signature of person making statement

111.2005

Attorney Docket No. 536-009.021

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: H. MUSSIG et al.

Serial No.: 0 10 528,868

Group No.: To be assigned

Filed: March 24, 2005

Examiner: To be assigned

For: Semiconductor dielectric component (complete if applicable)
with a praseodymium oxide dielectric Date of mailing of "Notice of Allowance and
Base Issue Fee Due" _____
Issue Batch No. _____

Commissioner of Patents and Trademarks
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWING(S) PRIOR TO NOTICE OF ALLOWANCE

Attached please find the formal drawings for this application. *

SIGNATURE OF ATTORNEY

James A. Retter

Type or print name of attorney

Ware, Fressola, Van Der Sluys & Adolphson LLP

Customer No.: 004955

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755 Main St., PO Box 224, Monroe, CT 06468

NOTE: The art unit, serial number and number of drawing sheets should be written on the reverse side of the drawings. However, for identification of the serial number, the PTO prefers that it be placed on the front. Notice of September 5, 1986 (1070 O.G. 46 to 59, 54).

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: 12 July 2005

Annemarie Maher
(Type or print name of person mailing paper)

Annemarie Maher
(Signature of person mailing paper)

* These are being submitted because Fig. 1 of the international application includes German-language annotations, which are provided in English here, according to the certified translation also enclosed herewith.

IN THE UNITED STATES PATENT AND TRADE MARK OFFICE

VERIFICATION OF TRANSLATION

I, Michael Wallace Richard Turner, Bachelor of Arts, Chartered Patent Attorney, European Patent Attorney, of 1 Horsefair Mews, Romsey, Hampshire SO51 8JG, England, do hereby declare that I am conversant with the English and German languages and that I am a competent translator thereof;

I verify that the attached English translation is a true and correct translation made by me of the attached specification in the German language of International Application PCT/EP03/10625;

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: Am 25, 2005

M W R Turner

M W R Turner